



Department of Defense **DIRECTIVE**

February 17, 1995
NUMBER 5134.10

DA&M

SUBJECT : Advanced Research Projects Agency (ARPA)

References: (a) Title 10, United States Code
(b) DoD Directive 5105.41, "Defense Advanced Research Projects Agency, " January 25, 1989 (hereby canceled)
(c) Federal Acquisition Regulation, Subpart 2.1, April 1, 1984, supplemented by Defense FAR Supplement, Subpart 202.1
(d) DoD Directive 8910.1, "Management and Control of Information Requirements, " June 11, 1993

A. PURPOSE

Under the authority vested in the Secretary of Defense by Section 113 of reference (a), this Directive establishes the ARPA as an agency of the Department of Defense with the responsibilities, functions, relationships, and authorities as prescribed herein ; and replaces reference (b).

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies , and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").

C. MISSION

The ARPA shall serve as the central research and development organization of the Department of Defense with a primary responsibility to maintain U.S. technological superiority over potential adversaries.

D. ORGANIZATION AND MANAGEMENT

ARPA is established as an Agency of the Department of Defense under the direction, authority, and control of the Under Secretary of Defense for Acquisition and Technology (USD(A&T)) and the Director of Defense Research and Engineering (DDR&E) . ARPA shall consist of a Director and such subordinate elements as are established by the Director within resources authorized by the Secretary of Defense.

E. RESPONSIBILITIES AND FUNCTIONS

The Director, ARPA, shall:

1. Organize, direct, and manage the ARPA and all assigned resources.
2. Pursue imaginative and innovative research and development (R&D) projects.
3. Provide guidance and assistance, as appropriate, to the DoD Components and other U.S. Government activities on matters pertaining to the projects assigned to ARPA.
4. Recommend to the Secretary of Defense, through the DDR&E, the assignment of research projects to ARPA.
5. Arrange for the performance of, and supervise, ARPA projects assigned to the Military Departments, other U.S. Government activities, individuals, private business entities, educational institutions, or research institutions, giving consideration to the primary functions of the Military Departments.
6. Engage in assigned advanced research projects and determine technology investment priorities taking into consideration both military needs and commercial potential. Long term strategies should promote the integration of the military and civilian industrial base.
7. **Conduct** demonstration projects that embody technology appropriate for **joint programs**, programs in support of deployed forces, or selected programs of the Military Departments and, on request, assist the Military Departments in their prototyping programs.
8. Keep the **DDR&E** and the DoD Components informed, as appropriate, on significant new developments, breakthroughs, and technological advances within assigned projects and on the status of such projects to facilitate early operational assignment.
9. Prepare and submit to the Comptroller of the Department of Defense, in accordance with established procedures, the ARPA's annual program budget estimates, to include the assignment of appropriation program priorities.
10. Perform such other functions as may be assigned by the **DDR&E**.

F. **AUTHORITY**

The Director, ARPA, is specifically delegated authority to:

1. Place funded work orders with organizations of the Military Departments, other DoD Components, or other organizations of the Federal Government.
2. Authorize the allocation, as appropriate, of funds made available to ARPA for assigned advanced projects.
3. Establish for ARPA, the Military Departments, and other R&D activities, procedures required in connection with work being performed for ARPA, consistent with policies and instructions governing the Department of Defense.

4. Serve as head of an Agency and Contracting Activity within the meaning of, and subject to the limitations of, FAR 2.1, as supplemented by **DFARS**, Subpart 202.1 (reference (c)).

5. Prosecute assigned advanced research projects by contract, grant, cooperative agreement, or any other authorized means.

6. Acquire or construct, directly or through a Military Department or other U.S. Government Agency, such research, development, and test facilities and equipment required to carry out assignments that may be approved by the Secretary of Defense in accordance with applicable statutes and DoD Directives.

7. Obtain reports and information, consistent with the policies and criteria of DoD Directive **8910.1** (reference (d)), and advice and assistance from other DoD Components, as necessary, to carry out ARPA functions and responsibilities.

8. Communicate directly with the DoD Components, other Executive Departments and Agencies, foreign research activities, and **non-DoD** R&D activities as appropriate. Communications to the Commanders of the Unified Combatant Commands shall be transmitted through the Chairman of the Joint Chiefs of Staff.

9. Exercise the administrative authorities in enclosure 1.

G. RELATIONSHIPS

1. Under the **USD(A&T)**, the **DDR&E** shall exercise authority, direction, and control over the Director, ARPA.

2. The Director, ARPA, shall:

a. Ensure that appropriate DoD Components are kept fully informed concerning ARPA activities with which they have substantive concern.

b. Make appropriate use of established facilities and services in the Department of Defense or other governmental Agencies, wherever practicable, to achieve maximum efficiency and economy.

3. The Secretaries of the Military Departments and heads of other DoD Components shall:

a. Provide assistance and support, in their respective fields of responsibility and within available resources, to the Director, ARPA, as may be necessary to carry out the responsibilities and functions assigned to ARPA.

b. Coordinate with the Director, ARPA, on all matters related to responsibilities and functions assigned to ARPA.

c. Direct subordinate elements to follow the procedures established pursuant to subsection F.3. above.

H. ADMINISTRATION

1. The Director, ARPA, shall be a civilian selected by the Secretary of Defense based on recommendations by the **USD(A&T)** and **DDR&E**.

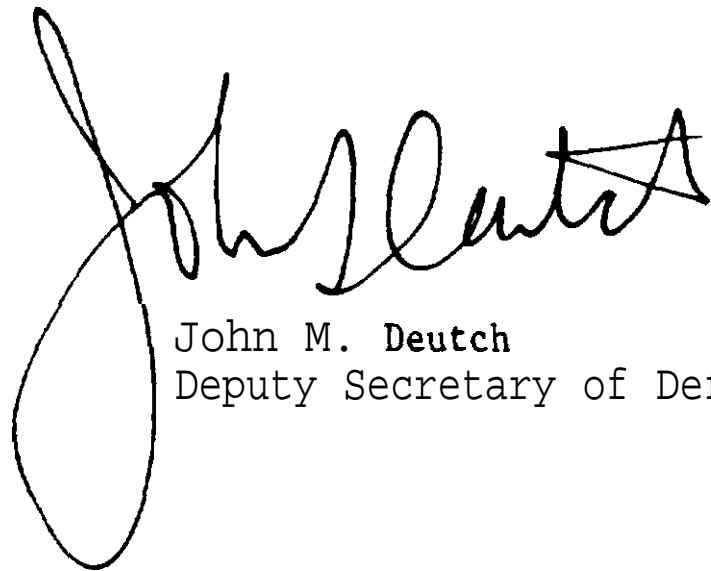
2. ARPA shall be authorized such personnel, facilities, funds, and other administrative support as the Secretary of Defense deems necessary.

3. The Military Departments shall assign personnel to ARPA in accordance with approved authorizations and procedures for assignment to joint duty.

4. Administrative support shall be provided by one, or more, of the DoD Components, as appropriate.

I. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink, appearing to read "John M. Deutch". The signature is stylized with a large loop at the beginning and a cross at the end.

John M. Deutch
Deputy Secretary of Defense

Enclosure
Delegations of Authority

DELEGATIONS OF AUTHORITY

Pursuant to the authority vested in the Secretary of Defense, and subject to the authority, direction, and control of the Secretary of Defense, the Under Secretary of Defense for Acquisition and Technology, and the Director, Defense Research and Engineering, and in accordance with DoD policies, Directives, and Instructions, the Director, ARPA, or in the absence of the Director, the person acting for the Director, is hereby delegated authority as required in the administration and operation of ARPA to:

1. Establish advisory committees and employ temporary or intermittent experts or consultants, as approved by the Secretary of Defense, for the performance of ARPA functions consistent with 10 U.S.C. 173; 5 U.S.C. 3109(b); and DoD Directive 5105.4, "DoD Federal Advisory Committee Management Program," September 5, 1989.

2. In accordance with 5 U.S.C. 7532; Executive Orders 10450, 12333, and 12356; and DoD Directive 5200.2, "DoD Personnel Security Program," May 6, 1992; as appropriate:

a. Designate any position in the ARPA as a "sensitive" position.

b. Authorize, in case of an emergency, the appointment of a person to a sensitive position in the ARPA for a limited period of time and for whom a full field investigation or other appropriate investigation, including the National Agency Check, has not been completed.

3. Authorize and approve:

a. Temporary duty travel for military personnel assigned or detailed to the ARPA in accordance with Joint Federal Travel Regulations, Volume 1, "Uniformed Service Members."

b. Travel for ARPA civilian employees in accordance with Joint Travel Regulations, Volume 2, "DoD Civilian Personnel."

c. Invitational travel to non-DoD personnel whose consultative, advisory, or other highly specialized technical services are required in a capacity that is directly related to, or in connection with, ARPA activities, in accordance with Joint Travel Regulations, Volume 2, "DoD Civilian Personnel."

d. Overtime work for ARPA civilian employees in accordance with Chapter 55, Subpart V, of 5 U.S.C. and applicable Office of Personnel Management regulations.

4. Approve the expenditure of funds available for travel by military personnel assigned or detailed to the ARPA for expenses incident to attendance at meetings of technical, scientific, professional, or other similar organizations in such instances where the approval of the Secretary of Defense, or designee, is required by 37 U.S.C. 412, and 5 U.S.C. 4110 and 4111.

5. Develop, establish, and maintain an active and continuing Records Management Program pursuant to 44 U.S.C. 3102 and DoD Directive 5015.2, "Records Management Program," March 22, 1991.
6. Establish and use imprest funds for making small purchases of material and services, other than personal services, for the ARPA, when it is determined more advantageous and consistent with the best interests of the Government, in accordance with DoD Directive 7360.10, "Disbursing Policies," January 17, 1989.
7. Authorize the publication of advertisements, notices, or proposals in newspapers, magazines, or other public periodicals as required for the effective administration and operation of the ARPA, consistent with 44 U.S.C. 3702.
8. Establish and maintain, for the functions assigned, an appropriate publications system for the promulgation of common supply and service regulations, instructions, and reference documents, and changes thereto, pursuant to the policies and procedures prescribed in DoD 5025.1-M, "DoD Directives System Procedures," August 1994.
9. Enter into support and service agreements with the Military Departments, other DoD Components, or other Government Agencies, as required, for the effective performance of ARPA functions and responsibilities.
10. Enter into and administer contracts, directly or through a Military Department, a DoD contract administration services component, or other Federal Agency, as appropriate, for supplies, equipment, and services required to accomplish the mission of the ARPA. To the extent that any law or Executive order specifically limits the exercise of such authority to persons at the Secretarial level of a Military Department, such authority shall be exercised by the appropriate Under Secretary or Assistant Secretary of Defense.
11. Exercise the authority delegated to the Secretary of Defense by the Administrator of General Services on the disposal of surplus personal property.
12. Exercise the authority of the director of a defense laboratory pursuant to Chapter 111, and of the head of an agency pursuant to Chapter 137 of 10 U.S.C.
13. Enter into and administer grants, cooperative agreements, and other authorized transactions with any Agency, university, nonprofit corporation, or other organization to carry out or support work required to execute any assigned advanced research project, and establish procedures for ARPA to carry out all the authorities and responsibilities contained in 10 U.S.C. 2358 and 2371.
14. Promulgate the necessary security regulations for the protection of property and places under the jurisdiction of the Director, ARPA, pursuant to DoD Directive 5200.8, "Security of DoD Installations and Resources," April 25, 1991.

15. Establish and maintain appropriate property accounts for ARPA and appoint Boards of Survey, approve reports of survey, relieve personal liability, and drop accountability for ARPA property contained in the authorized property accounts that has been lost, damaged, stolen, destroyed, or otherwise rendered unserviceable, in accordance with applicable laws and regulations.

The Director, ARPA, may redelegate these authorities as appropriate, and in writing, except as otherwise specifically indicated above or as otherwise provided by law or regulation.